## C rtificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

> Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

On December 11, 2003

Date

Signature

Carol Austin

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificates of mailing, or this

certificate must identify each submitted paper.

Enclosed are:

Transmittal Form
Information Disclosure Statement Under 37 CFR §1.97(b)
Form PTO/SB/08a
Copy of all references listed on PTO Form
Certificate of Mailing
Acknowledgement Postcard

28303.000022

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

& TRACE	Application Number	10/662,213	
TRANSMITTAL	Filing Date	September 12, 2003	
FORM	First Named Inventor	Houde-Walter, William R.	
(to be used for all correspondence after initial filing)	Art Unit	Unknown	
· .	Examiner Name	Unknown	
otal Number of Pages in This Submission	Attorney Docket Number	28303.000022	

ENCLOSURES (check all that apply)								
	] Fee Transmittal			Drawing(s)		After Allowance communication to		
	☐ Fee Attached			Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
	Amendment/Reply		Petition			Appeal Communications to Group (Appeal Notice, Brief, Reply Brief)		
	☐ After Final		Petition to Convert to a Provisional Application			Proprietary Information		
	☐ Affidavit/declaration(s)			Power to Attorney, Revocation Change of Correspondence Address	- 🗆	Status Letter		
	Extension of Time	Request		Terminal Disclaimer		Other Enclosure(s) (please identify below):		
! 🗆	Express Abandonment Request			Request for Refund		Form PTO/SB/08a, copy of all references listed thereon, Certificate of Mailing, and Postcard		
⊠	Information Disclosure Statement		. 🗆	CD, Number of CD(s)		or manning, and records		
☐ Certified Copy of Priority Document(s)		Ren	narks	1	-			
Response to Missing Parts/ Incomplete Application								
Response to Missing Parts Under 37 CFR 1.52 or 1.53								
		SIGNATUR	RE C	F APPLICANT, ATTORNEY, OF	R AG	ENT		
Firm or Brian B. Shaw, Individual name			Har	TER, SECREST & EMERY LLP				
Signature				É				
Date December 11, 2			003					

## CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Type or printed name Carol Austin Date December 11, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Houde-Walter, William R.

Atty. Docket:

28303.000022

Serial No.:

10/662,213

Examiner:

Unknown

Filed:

September 12, 2003

Art Unit:

Unknown

Title:

DIFFRACTIVE HEAD UP DISPLAY FOR FIREARMS

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97 (b)

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant hereby encloses an Information Disclosure Statement as set forth on form PTO/SB/08a.

As this Statement is submitted within 3 months of the application filing date and before the first Office Action is mailed from the United States Patent and Trademark Office, Applicant believes there are no fees due at this time.

Respectfully submitted,

Brian K. Shaw, Registration No. 33,782

HARTER, SECREST & EMERY LLP

1600 Bausch & Lomb Place Rochester, New York 14604 Telephone: 585-232-6500

Fax: 585-232-2152

Dated: 11 Decembr 2003

PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO Complete if Known 10/662,213 **Application Number** September 12, 2003 INFORMATION DISCLOSURE Filing Date Houde-Walter, William R. STATEMENT BY APPLICANT First Named Inventor Unknown Art Unit (use as many sheets as necessary) **Examiner Name** Unknown 28303.000022 Attorney Docket Number Sheet of 1

		Document Number	J.S. PATENT DO		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Examiner Initials	Cite No. <sup>1</sup>	Number - Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
		US-4,012,150	03-15-1977	Upatnieks		
		US-4,592,654	06-03-1986	Girault et al.		
		US-4,643,515	02-17-1987	Upatnieks		
		US-5,151,800	09-29-1992	Upatnieks		
		US-5,483,362	01-09-1996	Tai et al.		
		US-5,509,226	04-23-1996	Houde-Walter		
		US-5,761,235	06-02-1998	Houde-Walter		
		US-5,813,159	09-29-1998	Kay et al.		
		US-5,815,936	10-06-1998	Sieczka et al.		
		US-5,917,459	06-29-1999	Son et al.		
-		US-6,490,060	12-03-2002	Tai et al.		
	,	US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.1		n Patent Document Number⁴ Kind Code⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
							<u> </u>	
							-	
							-	

Examiner		Date		
Signature	 	Considered		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds of Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST .3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Set Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.